

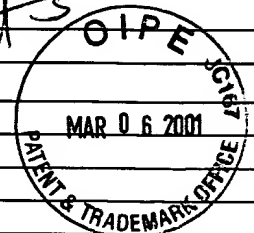
FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity
statement, otherwise large entity fees must be paid.

Complete if Known

PTO/SB/17 (12/99)

Application Number 09/685,696
Filing Date October 9, 2000
First Named Inventor Tongtong Wang
Examiner Name
Group / Art Unit 1646
Attorney Docket No. 210121.455C13



TOTAL AMOUNT OF PAYMENT \$40

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge
indicated fees and credit any over payments to:

Deposit Account Number 19-1090

Deposit Account Name Seed Intellectual Property Law Group PLLC

☐ Charge Any Additional Fee Required Under 37 CFR
§§ 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	\$	Code	\$		
101	690	201	355	Utility Filing Fee	<input type="checkbox"/>
106	310	206	155	Design Filing Fee	<input type="checkbox"/>
107	480	207	240	Plant Filing Fee	<input type="checkbox"/>
108	690	208	345	Reissue Filing Fee	<input type="checkbox"/>
114	150	214	75	Provisional Filing Fee	<input type="checkbox"/>
SUBTOTAL (1) 355					(\$)

2. *EXTRA CLAIM FEES

Claims	Extra Claims X	Fee from below =	Fee Paid
Ind. Clms	-20	0	<input type="checkbox"/>
Multiple Dependent Claims	-3	0	<input type="checkbox"/>

Large Entity		Small Entity		Fee Description	Fee Paid
Code	\$	Code	\$		
103	18	203	9	Claims in excess of 20	<input type="checkbox"/>
102	78	202	39	Indep. claims in excess of 3	<input type="checkbox"/>
104	260	204	130	Multiple dependent claim	<input type="checkbox"/>
109	78	209	39	Reissue indep. claims over original patent	<input type="checkbox"/>
110	18	210	9	Reissue claims in excess of 20 and over original patent	<input type="checkbox"/>
SUBTOTAL (2)					(\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Code	\$	Code	\$		
105	130	205	65	Surchrgr - late filing fee or oath	<input type="checkbox"/>
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	<input type="checkbox"/>
147	2520	147	2520	Filing a request for reexamination	<input type="checkbox"/>
112	920*	112	920*	Request publication of SIR prior to Examiner action	<input type="checkbox"/>
113	1840*	113	1840*	Request publication of SIR prior to Examiner action	<input type="checkbox"/>
115	110	215	55	Extension for reply within first month	<input type="checkbox"/>
116	380	216	190	Extension for reply within second month	<input type="checkbox"/>
117	870	217	435	Extension for reply within third month	<input type="checkbox"/>
118	1360	218	680	Extension for reply within fourth month	<input type="checkbox"/>
128	1850	228	925	Extension for reply within fifth month	<input type="checkbox"/>
119	300	219	150	Notice of Appeal	<input type="checkbox"/>
120	300	220	150	Filing a brief in support of appeal	<input type="checkbox"/>
121	260	221	130	Request for oral hearing	<input type="checkbox"/>
138	1510	138	1510	Petition to institute a public use proceeding	<input type="checkbox"/>
140	110	240	55	Petition to revive: unavoidable	<input type="checkbox"/>
141	1210	241	605	Petition to revive: unintentional	<input type="checkbox"/>
142	1210	242	605	Utility issue fee (or reissue)	<input type="checkbox"/>
143	430	243	215	Design issue fee	<input type="checkbox"/>
144	580	244	290	Plant issue fee	<input type="checkbox"/>
122	130	122	130	Petitions to the Commissioner	<input type="checkbox"/>
123	50	123	50	Petitions related to provisional applications	<input type="checkbox"/>
126	240	126	240	Submission of Information Disclosure Statement	<input type="checkbox"/>
581	40	581	40	Recording each patent assignment per property	<input checked="" type="checkbox"/>
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="checkbox"/>

Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 40)

SUBMITTED BY:

Complete (if applicable)

Name Jane E. R. Potter Registration No. 33,332 Telephone (206) 622-4900

Signature by James M. V. 33,287

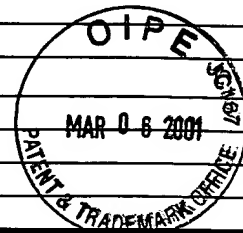
Date 03/01/01

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity
statement, otherwise large entity fees must be paid.

Complete if Known

Application Number	09/685,696
Filing Date	October 9, 2000
First Named Inventor	Tongtong Wang
Examiner Name	
Group / Art Unit	1646
Attorney Docket No.	210121.455C13


TOTAL AMOUNT OF PAYMENT (\$) 3,084

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

19-1090

Deposit Account Name

Seed Intellectual Property Law Group PLLC

☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	\$	Code	\$		
101	690	201	355	Utility Filing Fee	710
106	310	206	155	Design Filing Fee	
107	480	207	240	Plant Filing Fee	
108	690	208	345	Reissue Filing Fee	
114	150	214	75	Provisional Filing Fee	
SUBTOTAL (1)					(\$)710

2. EXTRA CLAIM FEES

Claims		Extra Claims X	Fee from below =	Fee Paid
63	-20	43	18	774
Ind. Clms				
18	-3	15	80	1,200
Multiple Dependent Claims				270

Large Entity		Small Entity		Fee Description
Code	\$	Code	\$	
103	18	203	9	Claims in excess of 20
102	78	202	39	Indep. claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue indep. claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				

(\$)2,244

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Code	\$	Code	\$		
105	130	205	65	Surchr - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
147	2520	147	2520	Filing a request for reexamination	
112	920*	112	920*	Request publication of SIR prior to Examiner action	
113	1840*	113	1840*	Request publication of SIR prior to Examiner action	
115	110	215	55	Extension for reply within first month	
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118	1360	218	680	Extension for reply within fourth month	
128	1850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of appeal	
121	260	221	130	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive: unavoidable	
141	1210	241	605	Petition to revive: unintentional	
142	1210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property	
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	
Other fee (specify)					
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$) 130

SUBMITTED BY:

Name	Jane E. R. Potter	Registration No.	33,332	Telephone	(206) 622-4900
Signature	by Jane M. Potter 33,287		Date	03/10/01	

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/685,696	10/09/2000		210121.455C13

Jane E R Potter
Seed Intellectual Property Law Group PLLC
701 Fifth Avenue
Suite 6300
Seattle, WA 98104-7092



FORMALITIES LETTER



OC000000005622484

Date Mailed: 01/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2244.
 - \$774 for 43 total claims over 20.
 - \$1200 for 15 independent claims over 3.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3084.

OP
710.00
774.00
1200.00
270.00
130.00
OP
OP
OP
OP
OP

A copy of this notice MUST be returned with the reply.

Geiga M. Ducty

03/08/2001 BHABTEW 00000006 09685696

01 FC:101
02 FC:103
03 FC:102
04 FC:104
05 FC:105

/2/01

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



#3

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

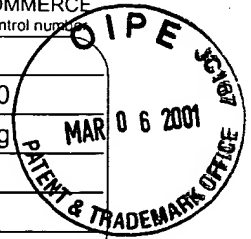
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(To be used for all correspondence after initial filing)

Application Number	09/685,696
Filing Date	October 9, 2000
First Named Inventor	Tongtong Wang
Group Art Unit	1646
Examiner Name	Not assigned
Attorney Docket No.	210121.455C13



Total Number of Pages in This Submission


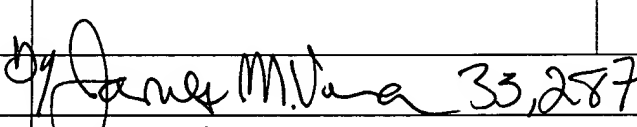
ENCLOSURES (check all that apply)

- | | | |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment/Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application
<input checked="" type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)
<input type="checkbox"/> Drawing(s)
<input type="checkbox"/> General Authorization under 37 C.F.R. § 1.36(a)(3)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD(s), Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<u>Declaration; Election and Power of Attorney, copy of Assignment; copy of Formalities Letter</u>

_____ |
|--|--|--|

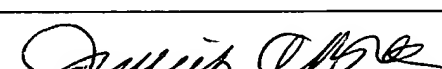
Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name/ Firm Name	Jane E. R. Potter	 00500 PATENT TRADEMARK OFFICE
Signature		
Date	March 1, 2001	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

Typed or printed name	Judith A. Breaks	
Signature		Date: March 1, 2001

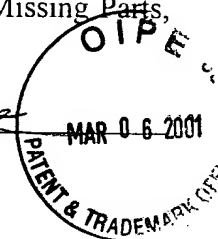
#3

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, DC 20231.

March 1, 2001
Date

Judith A. Breaks
Judith A. Breaks



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Tongtong Wang et al.
Application No. : 09/685,696
Filed : October 9, 2000
For : COMPOSITIONS AND METHODS FOR THE THERAPY AND
DIAGNOSIS OF LUNG CANCER

Art Unit : 1646
Docket No. : 210121.455C13
Date : March 1, 2001

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Commissioner for Patents:

In response to the Notice to File Missing Parts dated January 3, 2001, please find enclosed a Declaration, Election and Power of Attorney, copy of the Assignment, and a copy of the Formalities Letter for the above-identified application.

The fees have been calculated as follows:

Basic Fee	\$ 710
Total Claims (63, 43 extra)	774
Independent Claims (18, 15 extra)	1,200
Multiple Dependent Claim Surcharge	270
Missing Parts Surcharge	130
TOTAL	\$ 3,084

Enclosed are Form PTO/SB/17 and a check in the amount of \$3,084 for the requisite fees. The Commissioner is hereby authorized to charge any additional filing fees or to credit any overpayment to Deposit Account No. 19-1090. A duplicate copy of this response is enclosed.

Respectfully submitted,
Seed Intellectual Property Law Group PLLC



James M. Vera 33,287

Jane E. R. Potter
Registration No. 33,332

JEP:jab

701 Fifth Avenue, Suite 6300
Seattle, Washington 98104-7092
Phone: (206) 622-4900
Fax: (206) 682-6031

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